

Business Continuity Plan Summary - Financial Alternatives, Inc.

Overview for Clients

Plan

- Securities industry regulations require that Registered Investment Advisors maintain plans to address the possibility of a business disruption that potentially results from power outages, natural disasters, or other events.
- Financial Alternatives, Inc. has a plan in place, which we review on a regular basis. The plan provides for continuation of client service in many cases.
- No contingency plan can eliminate all risk of service interruption; however, we attempt to mitigate all reasonable risk through our Business Continuity Plan.

Examples

- If our La Jolla office was inoperable for some reason such as a power outage. Employees can perform client services from a “hot” backup site in a geographically separate location.
- If data at our La Jolla office was destroyed, we would transition to a separate backup location and utilize established data backup systems.
- If Jim were incapacitated, legal documents would enable Chris to continue our business operations and serve clients.

Alternate Contact Information

Financial Alternatives, Inc.

- If the telephone is not working, please try to contact us by email
 - jim@financialalternatives.com
 - chris@financialalternatives.com
- Candy works outside of California, and may be able to provide assistance.
 - 304-777-2089 tel
 - candy@financialalternatives.com
- If a replacement advisor is required, please try contacting:
 - NAPFA; www.napfa.org; 800-366-2732
 - FPA; www.fpanet.org; 303-759-4900

Investment Accounts

- For service on your Fidelity accounts, call Fidelity Retail at 800-544-6666 or visit a local branch office. You may also use the following mailing address:
 - Fidelity Investments
PO Box 5000
Cincinnati, OH 45273-8008
- For service on your Schwab accounts, call Schwab Alliance at 800-515-2157, or visit a local branch office. You may also use the following mailing address:
 - Charles Schwab & Co., Inc.
PO Box 52013
Phoenix, AZ 85072-9749